Research Article



Association of α -fetoprotein levels with liver stiffness measurement in outpatients with chronic hepatitis B

Juan Wang^{1,*}, Pengpeng Zhang^{2,*}, Juan Liao¹, Yan Zhu³, Xiaoli Liu⁴ and () Hong Tang¹

¹Center of Infectious Diseases, West China Hospital of Sichuan University, Chengdu, China; ²Transplantation Center, The Third Xiangya Hospital, Central South University, Changsha, Hunan, China; ³Employee Healthcare Department, West China Hospital of Sichuan University, Chengdu, China; ⁴Department of Infectious Diseases, Hunan Provincial Corps Hospital, Chinese People's Armed Police Forces, Hunan, China

Correspondence: Hong Tang (tanghong6198@wchscu.cn)



The association between α -fetoprotein (AFP) levels with the assessment of liver stiffness (LS) in chronic hepatitis B (CHB) patients were explored. A total of 283 outpatients with CHB were enrolled. Patient age, alanine aminotransferase (ALT), aspartate aminotransferase (AST), AFP, platelet (PLT), total bilirubin (TB), direct bilirubin (DB), alkaline phosphatase (ALP), albumin (ALB), globulin, and albumin/globulin (A/G) levels were associated with LS values in the univariate model (P < 0.05). Significant associations between AFP and PLT levels with LS values were observed when both variables were included in the multivariate analysis models. Receiver operation characteristic (ROC) analysis indicated that the combination of AFP and PLT levels could enhance the predictive performance of liver fibrosis (area under the curve (AUC) = 0.819, P < 0.001 and that PLT levels (PLT < 100×10^9 /l) combined with high AFP levels (AFP > 8 ng/ml) significantly increased the prediction of liver fibrosis (OR = 11.216). More importantly, LS values associated with higher AFP levels (AFP > 8 ng/ml), independently of higher ALT or AST values, were significantly higher than those of low AFP level groups. In conclusion, in Chinese outpatients with CHB, AFP outperformed ALT and/or AST levels in terms of their association with LS. AFP and PLT levels were independently associated with LS, and their combined assessment could enhance the diagnostic and predictive performance of liver fibrosis among CHB patients.

Introduction

Chronic hepatitis B (CHB) remains the main cause of chronic liver diseases (CLDs) worldwide, which consists of a process of progressive destruction and regeneration of liver parenchyma [1,2]. Liver fibrosis is the result of an excessive accumulation of extracellular matrix proteins caused by chronic liver damage. The management and prognosis of CLDs largely depend on the degree of liver fibrosis. Liver biopsy is an invasive and painful procedure, and has traditionally been considered the gold standard for assessing liver fibrosis. However, the risk of life-threatening complications and patient discomfort has limited the widespread application of routine liver biopsy [3,4], while its accuracy for evaluating hepatic fibrosis has also been questioned due to sampling errors and availability of clinical expertise [5,6].

Recently, the measurement of liver stiffness (LS) by transient elastography has been extensively proposed as a non-invasive tool for assessing liver fibrosis in different CLDs [7–9]. Furthermore, transient elastography has been recommended by international committees including the American Association for the Study of Liver Diseases (AASLD) and the European Association for the Study of the Liver (EASL) for the assessment of LS in CHB patients. In our previous study, we demonstrated that transient elastography and liver biopsy were reliable indicators of LS in the assessment of CHB patients [10]. Accordingly, a recent study also reported that transient elastography remained the most effective method for evaluating

*These authors contributed equally to this work.

Received: 10 September 2020 Revised: 25 November 2020 Accepted: 07 December 2020

Accepted Manuscript online: 08 December 2020 Version of Record published: 06 January 2021



all degrees of fibrosis [11]. Transient elastography has been widely adopted in the clinical setting given its advantages of being a non-invasive, convenient, economic and dynamic follow-up detection approach, and for its utility in diagnosing progressive liver fibrosis and early cirrhosis without the requirement for liver biopsies in CHB patients. Previous studies have indicated that some serum indexes, such as alanine aminotransferase (ALT), aspartate aminotransferase (AST), and platelet (PLT) levels, were associated with LS values [12,13] and predictive models for liver fibrosis (APRI or FIB-4 scores) have been built based on these values [14–17]. However, to date, there have been no studies correlating serum α -fetoprotein (AFP) levels with LS measurement in patients with CHB.

AFP constitutes most of the serum proteins in the fetus and is considered a marker of hepatocellular carcinoma (HCC) and other tumors [18–20]. Previous studies have also indicated that AFP serum levels are elevated in acute and chronic hepatitis C (HCV) patients and especially in the presence of hepatic steatosis and fibrosis [21,22], and thus have been included in many surrogate biochemical scores for the prediction of different stages of hepatic fibrosis [23,24]. Hence, the aim of present study was to investigate the association of AFP levels with the degree of LS stiffness. Further, the study aimed to provide a predictive tool for determining liver fibrosis degree based on AFP levels to help clinicians more effectively identify the risk of developing fibrosis in CHB patients.

Methods Study population

A total of 283 outpatients with CHB were enrolled according to the flowchart shown in Figure 1. For all patients, clinical characteristics including age, sex, body mass index (BMI), ALT, AST, AFP, PLT, total bilirubin (TB), direct bilirubin (DB), mean serum albumin (ALB), globulin (GLOB), ALB/GLOB (A/G) ratio, and alkaline phosphatase (ALP) were collected at the time of LS measurement. Serum ALT, AST, TB, DB, ALB, GLOB and ALP were measured using a Cobas c702 Automatic Analyzer (Germany, U/L). Serum AFP was measured using an E170c Automatic Analyzer (Germany, U/L). PLT levels were measured using a Sysmex XS-2000i autoanalyzer (Japan). Normal values for ALT and AST ranged from 0 to 40 U/l. AFP ranged from 0 to 8 ng/ml and PLT ranged from 100 to 300×10^9 /l. The normal values of other variables were determined based on the manufacturer's instructions.

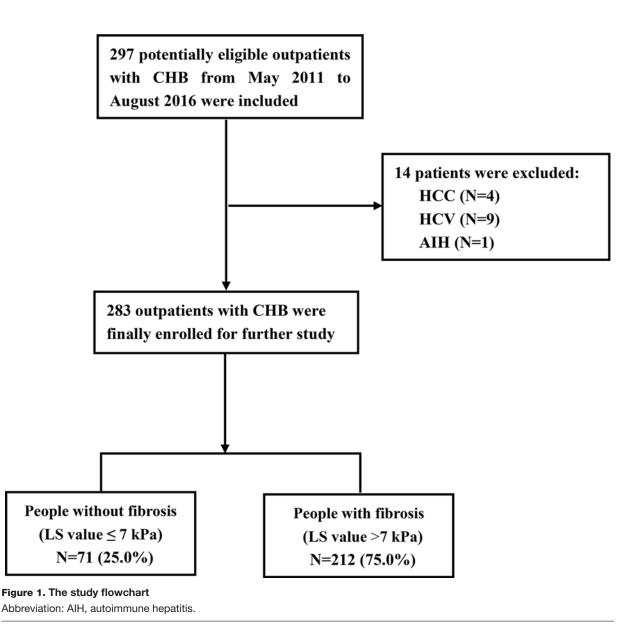
LS measurement

LS was measured by transient elastography using FibroScan (EchoSens, Paris, France); the details of the examination procedure and technical background have been described previously [25]. Briefly, the measurement depth was between 25 and 65 mm and ten successful acquisitions were performed for each patient. The success rate was calculated as the ratio of the number of successful acquisitions divided by the total number of acquisitions and only a success rate of more than 60% were considered reliable. The median value was considered representative of the liver elastic modulus and the LS value was expressed in kilopascals (kPa). In the present study, a cut-off value of 7.0 kPa was defined to identify liver fibrosis, which was based on the previous study that proposed a normal LS range of 3.3–6.8 kPa in women and 3.7–7.0 kPa in men [26]. Wong et al. proposed that 7.0 kPa could act as an optimal cut-off LS value to diagnose liver fibrosis in patients with nonalcoholic fatty liver disease [27].

Statistical analysis

All statistical analyses were performed using SPSS version 20.0 (SPSS Inc., Chicago, IL, U.S.A.) and GraphPad Prism 8.0 (San Diego, CA, U.S.A.). Baseline characteristics are reported as mean \pm standard deviation (SD) for continuous variables, or by percentage for categorical variables. Correlation analysis was used to determine the correlation between the LS values and serum AFP levels. For comparisons of continuous variables, Student's *t* test or Mann–Whitney U-test was used, as appropriate. Chi-square analysis or Fisher's exact tests was used for comparisons of categorical variables. Only variables with a statistically significant association (*P*<0.05) in the univariate analysis were included in the multiple logistic regression model. Multivariate logistic regression analysis was performed to test the association between different variables and LS values and all the variables were analyzed with the method 'Backward: LR'. We assessed the discriminative power of the identified biomarkers on the prediction of liver fibrosis using receiver operation characteristic (ROC) curves and obtained the sensitivity, specificity, positive predict value (PPV), negative predict value (NPV) and area under the curve (AUC) with 95% confidence interval (CI) for each potential predictor. Out-of-Sample Validation and Hosmer–Lemeshow test were applied for validation and calibration, respectively. The comparison of new prediction models of liver fibrosis with current models was performed using MedCalc software. A *P*-value <0.05 (two-tailed) was considered statistically significant.





Results General characteristics

Prescreening led to the identification of 297 eligible outpatients with CHB attending the West China Hospital, Sichuan University, Chengdu, China from May 2011 to August 2016. Fourteen patients with a diagnosis of HCC or other tumors, or who were infected with another hepatitis virus, or other causes of CLD were excluded. A total of 283 outpatients with CHB were finally enrolled (Figure 1) and all patients had undergone LS measurement. The mean \pm SD of LS values and blood biomarkers are presented in Table 1. Baseline characteristics of the study participants indicated that the mean age of the enrolled patients was 39.9 ± 10.9 years. Approximately 85.2% (241) were male and the mean body BMI was 23.5 ± 2.7 kg/m². The laboratory data indicated that the mean ALT and AST values were 177.9 ± 215.4 U/l and 121.5 ± 161.5 U/l, respectively. The mean AFP value was 28.1 ± 111.5 ng/ml and the mean PLT was $139.9 \pm 55.9 \times 10^9$ /l. The mean TB and DB levels, were 21.4 ± 22.2 and $9.6 \pm 19.0 \mu$ mol/l, respectively. The ALB and GLOB levels were 45.4 ± 4.7 and 30.5 ± 4.8 g/l, respectively, and the A/G ratio was 1.5 ± 0.3 . The mean ALP level was 92.4 ± 31.9 IU/l. Of the 283 patients enrolled, approximately 212 (75.0%) participants presented with liver fibrosis (LS value >7.0 kPa).



Table 1 Demographic, laboratory and clinical variables of 283 outpatients diagnosed with CHB

| Variables | Value | | |
|---|----------------------|--|--|
| Demographic variables | | | |
| Age, mean years \pm SD | 39.9 <u>+</u> 10.9 | | |
| Sex, number of male (%) | 241 (85.2) | | |
| BMI, mean value \pm SD, kg/m ² | 23.5 <u>+</u> 2.7 | | |
| Laboratory variables | | | |
| ALT, U/I | 177.9 <u>+</u> 215.4 | | |
| AST, U/I | 121.5 <u>+</u> 161.5 | | |
| AFP, ng/ml | 28.1 <u>+</u> 111.5 | | |
| PLT, ×10 ⁹ /I | 139.9 <u>+</u> 55.9 | | |
| TB, µmol/l | 21.4 <u>+</u> 22.2 | | |
| DB, µmol/l | 9.6 <u>+</u> 19.0 | | |
| ALB, g/l | 45.4 <u>+</u> 4.7 | | |
| GLOB, g/l | 30.5 <u>+</u> 4.8 | | |
| A/G | 1.5 <u>+</u> 0.3 | | |
| ALP, IU/I | 92.4 <u>+</u> 31.9 | | |
| Imaging variables | | | |
| LS value, kPa | 14.3 <u>+</u> 12.3 | | |

Table 2 Univariate analysis: comparison of patients with and without liver fibrosis

| | People without fibrosis (LS v | e > | | | | |
|---|-------------------------------|------------------------|--------|---|--|--|
| Variables | ≤ 7 kPa, 71/283, 25.0%) | 7 kPa, 212/283, 75.0%) | Р | Р | | |
| Age, mean years <u>+</u> SD | 36.7 <u>+</u> 12.8 | 41.0 <u>+</u> 10.0 | 0.011 | | | |
| Sex, number of male (%) | 54/71 (76.1%) | 187/212 (88.2%) | 0.098 | | | |
| BMI, mean value \pm SD, kg/m ² | 23.2 <u>+</u> 2.8 | 23.6 <u>+</u> 2.7 | 0.243 | | | |
| ALT, U/I | 127.0 <u>+</u> 80.6 | 195.01 <u>+</u> 242.2 | <0.001 | | | |
| AST, U/I | 73.8 <u>+</u> 41.9 | 137.4 <u>+</u> 182.3 | <0.001 | | | |
| AFP, ng/ml | 3.3 <u>+</u> 1.9 | 36.4 <u>+</u> 127.8 | <0.001 | | | |
| PLT, $\times 10^9/I$ | 164.6 <u>+</u> 52.2 | 131.6 <u>+</u> 54.8 | <0.001 | | | |
| TB, μmol/l | 16.5 <u>+</u> 6.9 | 23.0 <u>+</u> 25.2 | 0.001 | | | |
| DB, µmol/l | 5.5 ± 2.6 | 10.9 <u>+</u> 21.7 | 0.001 | | | |
| ALB, g/l | 46.6 <u>+</u> 3.9 | 45.0 <u>+</u> 4.8 | 0.007 | | | |
| GLOB, g/l | 29.0 <u>+</u> 4.0 | 31.1 <u>+</u> 5.0 | 0.002 | | | |
| A/G | 1.6 ± 0.3 | 1.5 <u>+</u> 0.3 | <0.001 | | | |
| ALP, IU/I | 82.8 ± 26.2 | 95.7 <u>+</u> 33.0 | 0.001 | | | |

| Variable | β | S.E. | OR | 95% CI | Р |
|--------------------------|--------|-------|-------|-------------|---------|
| AFP, ng/ml | 0.303 | 0.076 | 1.354 | 1.166-1.571 | <0.001 |
| PLT, ×10 ⁹ /I | -0.012 | 0.003 | 0.989 | 0.983-0.994 | < 0.001 |

Correlation of clinical factors with LS in patients with CHB

As shown in Table 2, the clinical variables were included in a univariate analysis to identify the correlation between LS values in the 283 participants with CHB. The results indicated that age, ALT, AST, AFP, PLT, TB, DB, ALB, GLOB, A/G, and ALP showed significant association with LS (P<0.05, Table 2). Next, 11 items were included in a multivariate model, as shown in Table 3. Significant associations for PLT and AFP levels with LS values were observed (odds ratio [OR]: 0.989, 95% CI: 0.983–0.994, P<0.001 and 1.354, 95% CI: 1.166–1.571, P<0.001, respectively).

In order to determine the effects of AFP on the diagnostic performance of LS values in patients with CHB, ROC analysis was performed. The AUC for AFP levels was 0.755 (95% CI: 0.698–0.812, sensitivity = 0.581, specificity = 0.873, PPV = 93.1%, NPV = 41.4%, *P*<0.001, Figure 2). Because of the significant association of PLT with LS values,



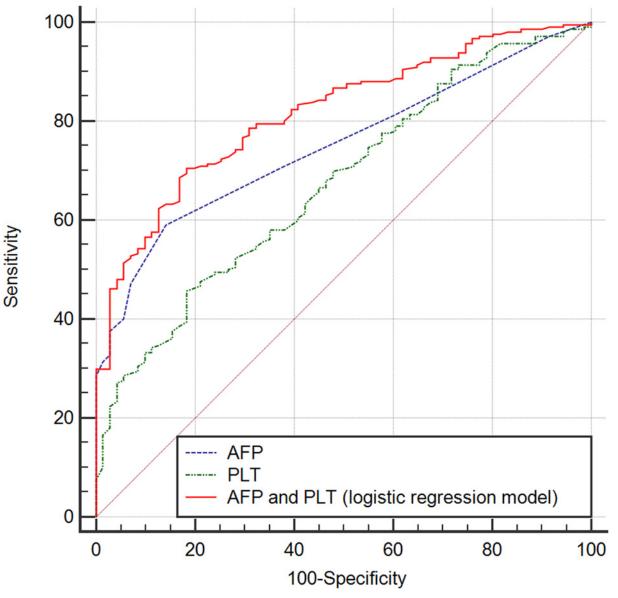
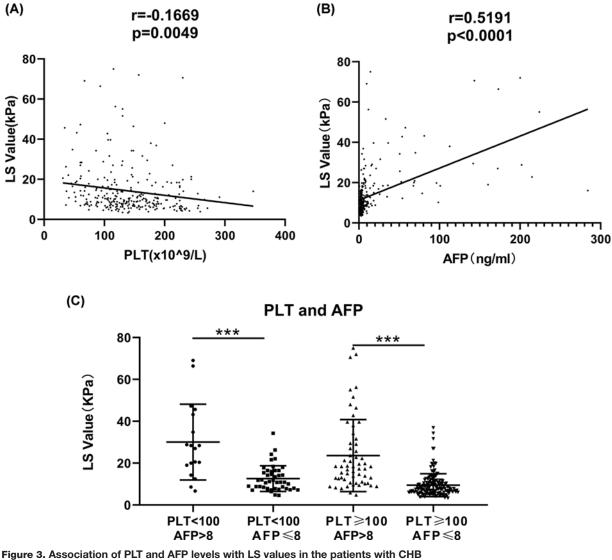


Figure 2. ROC curves for PLT and serum AFP levels, alone and in combination, to predict liver fibrosis (LS type> 7 kPa) The sensitivity, specificity, PPV, NPV, and AUC (95% CI and *P*-value) for PLT levels (0.460, 0.819, 86.8%, 33.7%, and 0.678 [0.611–0.746, P<0.001], respectively); for AFP levels (0.581, 0.873, 93.1%, 41.4%, and 0.755 [0.698–0.812, P<0.001], respectively); and for AFP and PLT in combination (0.682, 0.833, 92.3%, 47.2%, and 0.819 [95% CI: 0.768–0.870, P<0.001], respectively) were determined.

we also evaluated the predictive performance of PLT and of the combination of these two predictors (logistic regression model: $1.285 + 0.326 \times AFP [ng/ml] - 0.012 \times PLT [10^9/l]$), with AUCs of 0.678 (95% CI: 0.611–0.746, sensitivity = 0.460, specificity = 0.819, PPV = 86.8%, NPV = 33.7%, *P*<0.001, Figure 2) and 0.819 (95% CI: 0.768–0.870, sensitivity = 0.682, specificity = 0.833, PPV = 92.3%, NPV = 47.2%, *P*<0.001, Figure 2), respectively. Thus, the combination of PLT and AFP enhanced the predictive performance of liver fibrosis in patients with CHB. Meanwhile, the correlation analyses indicated that PLT and AFP levels had highly significant negative (r = -0.1669, *P*=0.005) and positive (r = 0.5191, *P*<0.001) correlations with the LS value, respectively (Figure 3A,B).

To further investigate the combined effects of PLT and AFP level on LS values, PLT and AFP levels were stratified into two categories according to clinical cut-off values (100×10^9 /l for PLT and 8 ng/ml for AFP) (Table 4). Consistent with the regression analysis results, the presence of thrombocytopenia (PLT < 100×10^9 /l) or high AFP levels (AFP > 8 ng/ml) improved the prediction of liver fibrosis, (OR = 6.763, 95% CI: 2.310–19.797, *P*<0.001 and OR = 18.804,



(A) The PLT had highly significant negative correlation with LS value. (B) The AFP level had highly significant positive correlation with LS value. (C) The LS value of high AFP level subgroups (AFP > 8 ng/ml) were increased significantly than those with low AFP level subgroups, which was not subjected to the PLT ($100 \times 10^9/l$).(***P < 0.001).

95% CI: 4.433–79.757, P<0.001), respectively (Table 4). As expected, thrombocytopenia (PLT < 100 × 10⁹/l) combined with a high AFP level (AFP > 8 ng/ml) also significantly increased prediction of liver fibrosis (OR = 11.216, 95% CI: 1.457–86.377, P=0.020, Table 4). At the same time, we analyzed the LS values among the four subgroups and the results showed that the LS values in subgroups with high AFP level (AFP > 8 ng/ml) were significantly higher than those with low AFP level subgroups (Figure 3C).

Previous studies indicated that ALT and AST levels were independently associated with the LS values [28,29]. Thus, in our univariate analyses, we compared the impact of AFP, AST, and ALT on LS values in detail. First, the correlation analyses showed that ALT and AST both had highly significant positive correlation with LS values (Figure 4A,B). Next, ALT and AST were classified as two categories according to the 2 upper limit of normal (ULN) cut-off value as in a previous study [28]. The results showed that high AFP levels (AFP > 8 ng/ml) combined with a high ALT level (ALT > 2 ULN) could significantly enhance the prediction of liver fibrosis (OR = 11.968; 95% CI: 2.723–52.609; P=0.001, Table 4) and high AFP level (AFP > 8 ng/ml) combined with high AST level (AST > 2 ULN) also significantly enhance the predictive performance of liver fibrosis (OR = 28.261; 95% CI: 3.792–210.596; P=0.001, Table 4). In addition, the LS value in high AFP level subgroups (AFP > 8 ng/ml) significantly improved the predictive ability of

Table 4 The combined effects of PLT, ALT, AST, and AFP on the incidence of liver fibrosis (LS value > 7 kPa)

| Variable | Case | Univariate | | | |
|--|------|------------------------|--------|---|--|
| | | OR (95% CI) | Р | Р | |
| Combination of AFP and PLT (×10 ⁹ /I) | | | | | |
| Low AFP (\leq 8 ng/ml) and PLT \geq 100 | 161 | 1.000 (reference) | - | | |
| Low AFP (\leq 8 ng/ml) and PLT < 100 | 45 | 6.763 (2.310–19.797) | <0.001 | | |
| High AFP (>8 ng/ml) and PLT \geq 100 | 59 | 18.804 (4.433–79.757) | <0.001 | | |
| High AFP (>8 ng/ml) and PLT < 100 | 18 | 11.216 (1.457-86.377) | 0.020 | | |
| Combination of AFP and ALT | | | | | |
| Low AFP (\leq 8 ng/ml) and low ALT (\leq 2 ULN) | 90 | 1.000 (reference) | - | | |
| Low AFP (\leq 8 ng/ml) and high ALT 117 (>2 ULN) | | 0.749 (0.419–1.341) | 0.331 | | |
| High AFP (>8 ng/ml) and low ALT 21 <2 ULN) | | 9.032 (1.154–70.679) | 0.036 | | |
| High AFP (>8 ng/ml) and high ALT (>2 ULN) | 55 | 11.968 (2.723–52.609) | 0.001 | | |
| Combination of AFP and AST | | | | | |
| Low AFP (\leq 8 ng/ml) and low AST (\leq 2 ULN) | 142 | 1.000 (reference) | - | | |
| Low AFP (\leq 8 ng/ml) and high AST (>2 ULN) | 64 | 1.038 (0.558–1.929) | 0.907 | | |
| High AFP (>8 ng/ml) and low AST (<2 ULN) | 24 | 5.978 (1.350–26.471) | 0.019 | | |
| High AFP (>8 ng/ml) and high AST (>2 ULN) | 53 | 28.261 (3.792–210.596) | 0.001 | | |

Table 5 The specific parameters of the five different prediction models

| Variables | AUC (95% CI) | Sensitivity | Specificity | Cut-off value | PPV | NPV | <i>P</i> for diagnosis | P for comparison with AFP and PLT [*] | <i>P</i> for comparison with AFP/PLT |
|-----------------|------------------------|-------------|-------------|------------------|-------|-------|---------------------------|---|--|
| AFP and PLT^* | 0.819 (0.768–0.870) | 0.682 | 0.833 | 1.040 | 92.3% | 47.2% | <0.001 | / | 0.435 |
| AFP/PLT | 0.812 (0.761–0.863) | 0.725 | 0.806 | 2.800 | 80.6% | 50.0% | <0.001 | 0.435 | / |
| APRI | 0.711 (0.648–0.775) | 0.673 | 0.694 | 1.280 | 86.6% | 42.0% | <0.001 | <0.001 | <0.001 |
| FIB-4 | 0.763 (0.701–0.825) | 0.716 | 0.750 | 1.800 | 89.3% | 47.4% | <0.001 | 0.018 | 0.042 |

^{*}Logistic regression model (1.285 + 0.326 \times AFP [ng/ml] – 0.012 \times PLT [10⁹/l]).

liver fibrosis compared with the low AFP level subgroups, which was not subjected to the ALT or AST values (Figure 4C,D).

Finally, validation and calibration studies were also performed and the results are reported in the Supplementary File (Supplementary Figures S1 and S2).

Comparison of the combination AFP and PLT with the current prediction model of liver fibrosis (APRI and FIB-4)

We compared the predictive model established in our study ($1.285 + 0.326 \times AFP [ng/ml] - 0.012 \times PLT [10^9/l]$) with currently available prediction scores, such as APRI and FIB-4, using MedCalc software (Figure 5 and Table 5). The results showed that our model had a higher AUC (0.819, 95% CI: 0.768–0.870) than APRI (AUC = 0.711, 95% CI: 0.648–0.775, P<0.001) or FIB-4 (AUC = 0.764, 95% CI: 0.701–0.825, P=0.018).

In order to establish a simplifier predictive score for daily practice, 'AFP/PLT' score (AUC = 0.812, 95% CI: 0.761-0.863) were established. The simplified 'AFP/PLT' score showed no difference to previous model (difference



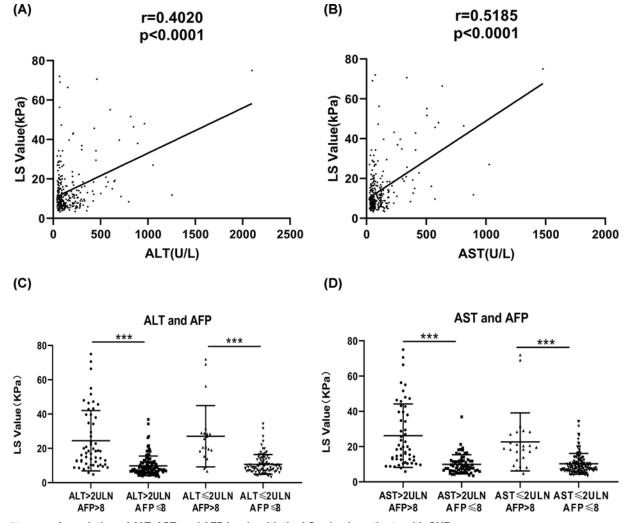


Figure 4. Association of ALT, AST, and AFP levels with the LS value in patients with CHB A total of 283 participants were classified according to clinical cut-off values (2 ULN for ALT and AST, 8 ng/ml for AFP). (A,B) The ALT and AST levels had a highly significant positive correlation with LS value. (C,D) The LS values of the high AFP level subgroups (AFP > 8 ng/ml), independent of whether ALT or ALT values were elevated or not, increased significantly compared with those with low AFP among outpatients with CHB (***P<0.001).

between AUC = 0.007, 95% CI: -0.010 to 0.023, P=0.435, *P-values stand for* comparison between the simplified score and original score based on logistic model).

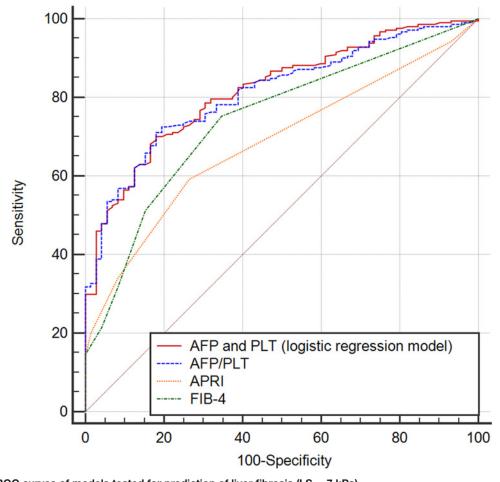
The simplified prediction models also showed better predictive value in comparison with APRI or FIB-4 scores (APRI, difference between AUC = 0.127, 95% CI: 0.066-0.189, P < 0.001; FIB-4, difference between AUC = 0.069, 95% CI: 0.002-0.136, P=0.042, respectively).

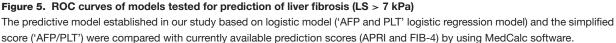
Discussion

The main etiology of liver fibrosis is chronic HBV infection in China, and thus timely and precise diagnosis of liver fibrosis is essential for the prevention and treatment of CLD [30]. LS measurement by transient elastography, as a safe and more tolerable method, has become an alternative to liver biopsy to determine the severity of liver fibrosis and cirrhosis, especially for outpatients. To the best of our knowledge, LS measurements may be influenced by different factors, however, there are no studies correlating serum AFP levels with LS measurement in patients with CHB [29,31,32].

Besides its role in HCC diagnosis, higher serum AFP levels are also observed in patients with hepatitis and liver cirrhosis [33–35]. Higher serum AFP levels have been reported to correlate significantly with advanced liver fibrosis







and cirrhosis [12,36]. High levels of AFP are produced by hepatic progenitor cells in the periportal region of the liver, and AFP was determined to be responsible for liver regeneration and directly correlated with fibrosis stages [37]. Besides, a study reported that AFP could stimulate expression of epithelial cell adhesion molecule (EpCAM) [38,39], while silencing of EpCAM could suppress hepatic fibrosis and hepatic stellate cell proliferation in a mouse model of acholic hepatitis [38], indicating increased AFP level possibly led to progression of liver fibrosis by stimulating the EpCAM.

In the present study, the main finding was that both AFP and PLT levels are independently associated with LS values and their combination could improve the prognostic prediction of liver fibrosis in CHB patients (AUC = 0.819). Though AFP is mainly associated with the presence of HCC and other tumors [18–20,39], our findings indicated there was a significant positive correlation between AFP levels and LS values. Furthermore, our results are in agreement with previous studies indicating a positive correlation between AFP levels and the stage of hepatic fibrosis in chronic HCV patients without HCC [40], in addition to studies reporting that elevated serum AFP levels were independently associated with advanced stages of liver fibrosis [41]. In the present study, PLT levels were also shown to directly correlate with increased LS values. It is widely known that the hypersplenism observed in liver fibrosis is associated with thrombocytopenia [14]; thus, PLT counts have traditionally always plays an important role in the prediction of hepatic fibrosis. The FIB-4 score, includes PLT counts in its calculation, and has become a routinely available tool for the prediction of hepatic fibrosis [42]. Compared with AFP levels, PLT counts showed less predictive capability in this study (AUC = 0.755 for AFP and AUC = 0.678 for PLT), but their combination was recommended to improve discriminative power (AUC = 0.819). Furthermore, thrombocytopenia (i.e., PLT < 100×10^9 /l) combined with high AFP levels (AFP > 8 ng/ml) resulted in a significantly increased risk of liver fibrosis (OR = 11.216) and represents



an easy-to-use method to help clinicians identify more patients at higher risk for liver fibrosis, especially among outpatients. Moreover, the model established in our study based on logistic model and the simplified score (combined AFP and PLT) also showed higher predictive value in comparison with currently available prediction scores including APRI and FIB-4. Thus, our predictive model combining thrombocytopenia and high AFP levels could have potential clinical application in the future.

In general, serum ALT and AST levels usually reflect the histologic necroinflammation status of the liver tissue and are closely associated with hepatic fibrosis. Several previous studies have indicated that higher ALT levels were associated with higher LS values [25,28,29,43]; however, Wong et al. reported that increased ALT was not necessarily associated with more severe hepatic fibrosis especially in acute hepatitis patients [31].

In the present study, the multivariate logistic regression analyses showed that ALT and AST level did not significantly associate with the LS value. However, when we compared the impact of AFP combined with AST, and ALT on LS, the results showed that AFP level (AFP > 8 ng/ml) and high ALT/AST levels (ALT/AST > 2 ULN) predicted a significantly increased risk of liver fibrosis (OR = 11.968 for ALT and OR = 28.261 for AST). More importantly, when participants in the study were stratified into four subgroups (Table 4), the LS value of the high AFP level subgroups (AFP > 8 ng/ml), independently of whether the ALT or AST values were increased or not, were more significantly associated with LS than patient subgroups having lower AFP levels. To the best of our knowledge, there have been no previous studies comparing the performance of these three variables on prediction of liver fibrosis. Our study indicated that serum AFP level was more reliable and effective than ALT or AST for the assessment of hepatic fibrosis progression in CHB patients. Hence, we recommend the use of AFP to predict liver fibrosis at the time of severe acute exacerbation of CHB, especially in the outpatients.

One limitation of this retrospective study is that AFP was tested at only one time point. Generally, circulating AFP levels of a patient fluctuate over time. Thus, it would be optimal to have AFP values tested at several time points in order to calculate the average AFP value for a single patient. This will be considered in future prospective studies.

Conclusion

In conclusion, in Chinese outpatients with CHB, AFP outperforms ALT and/or AST in terms of their associations with LS, a useful tool for assessing liver fibrosis progression. PLT and AFP level were independently associated with LS, and their combination may improve the diagnostic performance and prediction of liver fibrosis. The findings could help clinicians more easily and effectively to identify CHB outpatients at the risk of developing hepatic fibrosis.

Data Availability

The datasets used and analyzed in the present study are available upon reasonable request.

Competing Interests

The authors declare that there are no competing interests associated with the manuscript.

Funding

This work was supported by the Chinese Foundation for Hepatitis Prevention and Control [grant number CFHPC20131039]; the National Scientific and Technological Major Project for Infectious Diseases Control in China [grant number 2018ZX10715-003]; and the Science and Technology Project of the Health Planning Committee of Sichuan [grant number 16PG280].

Author Contribution

H.T. conceptualized the study. J.W. and P.Z. collected data and drafted the manuscript. J.L., Y.Z. and X.L. analyzed the data. All authors revised and approved final version of the manuscript.

Ethics Approval

The present study was approved by the Ethics Committee of the West China Hospital of Sichuan University (number 2011-118). Written informed consent was obtained from all subjects or if subjects were under the age 18 years, from a parent and/or legal guardian. At the same time, all experiments were performed in accordance with the ethical guidelines and regulations of the 1975 Declaration of Helsinki.

Abbreviations

AFP, α -fetoprotein; ALB, albumin; ALP, alkaline phosphatase; ALT, alanine aminotransferase; APRI, aspartate aminotransferase-to-platelet ratio index; AST, aspartate aminotransferase; AUC, area under the curve; A/G, albumin/globulin;



BMI, body mass index; CHB, chronic hepatitis B; CI, confidence interval; CLD, chronic liver disease; DB, direct bilirubin; Ep-CAM, epithelial cell adhesion molecule; FIB-4, fibrosis 4 score; GLOB, globulin; HCC, hepatocellular carcinoma; HCV, chronic hepatitis C; LS, liver stiffness; NPV, negative predict value; OR, odds ratio; PLT, platelet; PPV, positive predict value; ROC, receiver operation characteristic; SD, standard deviation; TB, total bilirubin; ULN, upper limit of normal.

References

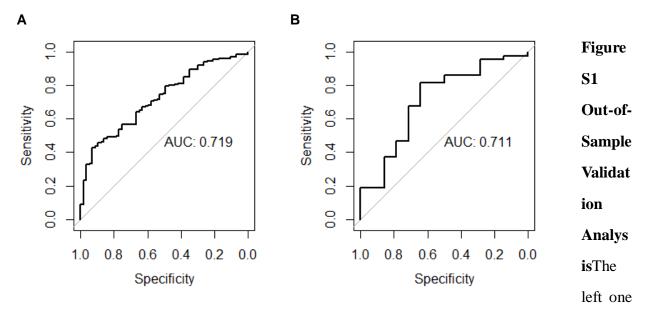
- 1 Lok, A.S. and McMahon, B.J. (2007) Chronic hepatitis B. Hepatology 45, 507–539, https://doi.org/10.1002/hep.21513
- 2 Williams, M.J., Clouston, A.D. and Forbes, S.J. (2014) Links between hepatic fibrosis, ductular reaction, and progenitor cell expansion. *Gastroenterology* **146**, 349–356, https://doi.org/10.1053/j.gastro.2013.11.034
- 3 Cadranel, J.F., Rufat, P. and Degos, F. (2000) Practices of liver biopsy in France: results of a prospective nationwide survey. *Hepatology* 32, 477–481, https://doi.org/10.1053/jhep.2000.16602
- 4 Castéra, L., Nègre, I., Samii, K. and Buffet, C. (1999) Pain experienced during percutaneous liver biopsy. *Hepatology* **30**, 1529–1530, https://doi.org/10.1002/hep.510300624
- 5 Bedossa, P., Dargère, D. and Paradis, V. (2003) Sampling variability of liver fibrosis in chronic hepatitis C. *Hepatology* **38**, 1449–1457, https://doi.org/10.1053/jhep.2003.09022
- 6 Rousselet, M.C. et al. (2005) Sources of variability in histological scoring of chronic viral hepatitis. *Hepatology* **41**, 257–264, https://doi.org/10.1002/hep.20535
- 7 Jung, K.S. and Kim, S.U. (2012) Clinical applications of transient elastography. *Clin. Mol. Hepatol.* 18, 163, https://doi.org/10.3350/cmh.2012.18.2.163
- 8 Nahon, P. et al. (2008) Assessment of liver fibrosis using transient elastography in patients with alcoholic liver disease. J. Hepatol. 49, 1062–1068, https://doi.org/10.1016/j.jhep.2008.08.011
- 9 Chon, Y. et al. (2017) Improvement of liver fibrosis after long-term antiviral therapy assessed by fibroscan in chronic Hepatitis B patients with advanced fibrosis. *Am. J. Gastroenterol.* **112**, 882–891, https://doi.org/10.1038/ajg.2017.93
- 10 Zhu, X. et al. (2011) Prospective evaluation of FibroScan for the diagnosis of hepatic fibrosis compared with liver biopsy/AST platelet ratio index and FIB-4 in patients with chronic HBV infection. *Dig. Dis. Sci.* **56**, 2742–2749
- 11 Cassinotto, C. et al. (2016) Liver stiffness in nonalcoholic fatty liver disease: a comparison of supersonic shear imaging, FibroScan, and ARFI with liver biopsy. *Hepatology* 63, 1817–1827, https://doi.org/10.1002/hep.28394
- 12 Gamil, M. et al. (2018) Novel scores combining AFP with non-invasive markers for prediction of liver fibrosis in chronic hepatitis C patients. J. Med. Virol. 90, 1080–1086, https://doi.org/10.1002/jmv.25026
- 13 Goyal, R. et al. (2013) Fibroscan can avoid liver biopsy in Indian patients with chronic hepatitis B. J. Gastroenterol. Hepatol. 28, 1738–1745, https://doi.org/10.1111/jgh.12318
- 14 Peck-Radosavljevic, M. (2017) Thrombocytopenia in chronic liver disease. Liver Int. 37, 778–793
- 15 Huang, D. et al. (2019) The liver fibrosis index is superior to the APRI and FIB-4 for predicting liver fibrosis in chronic hepatitis B patients in China. *BMC Infect. Dis.* **19**, 878, https://doi.org/10.1186/s12879-019-4459-4
- 16 Liu, D. et al. (2018) Comparative evaluation of GPR versus APRI and FIB-4 in predicting different levels of liver fibrosis of chronic hepatitis B. J. Viral Hepat. 25, 581–589, https://doi.org/10.1111/jvh.12842
- 17 Udompap, P., Sukonrut, K., Suvannarerg, V., Pongpaibul, A. and Charatcharoenwitthaya, P. (2020) Prospective comparison of transient elastography, point shear wave elastography, APRI and FIB-4 for staging liver fibrosis in chronic viral hepatitis. *J. Viral Hepat.* **27**, 437–448, https://doi.org/10.1111/jvh.13246
- 18 Kew, M. (1974) Alpha-fetoprotein in primary liver cancer and other diseases. Gut 15, 814, https://doi.org/10.1136/gut.15.10.814
- 19 Alpert, M., Uriel, J. and De Nechaud, B. (1968) Alpha1 fetoglobulin in the diagnosis of human hepatoma. *N. Engl. J. Med.* 278, 984–986, https://doi.org/10.1056/NEJM196805022781804
- 20 Mehlman, D.J., Bulkley, B.H. and Wiernik, P.H. (1971) Serum alpha1-fetoglobulin with gastric and prostatic carcinomas. *N. Engl. J. Med.* **285**, 1060–1061, https://doi.org/10.1056/NEJM197111042851907
- 21 Chen, C.-H., Lin, S.-T., Kuo, C.-L. and Nien, C.-K. (2008) Clinical significance of elevated alpha-fetoprotein (AFP) in chronic hepatitis C without hepatocellular carcinoma. *Hepatogastroenterology* **55**, 1423–1427
- 22 Babal, A. et al. (2009) Serum α-fetoprotein levels in liver steatosis. *Hepatol. Int.* 3, 551, https://doi.org/10.1007/s12072-009-9156-8
- 23 Attallah, A.M. et al. (2012) Development of a novel score for liver fibrosis staging and comparison with eight simple laboratory scores in large numbers of HCV-monoinfected patients. *Clin. Chim. Acta* 413, 1725–1730, https://doi.org/10.1016/j.cca.2012.06.031
- 24 Omran, M.M., Farid, K., Emran, T.M. and Attallah, A.A. (2011) Fibro-α score as a simple and useful non-invasive test for predicting significant liver fibrosis in chronic hepatitis C patients. Arab J. Gastroenterol. 12, 74–79, https://doi.org/10.1016/j.ajg.2011.04.006
- 25 Foucher, J. et al. (2006) Diagnosis of cirrhosis by transient elastography (FibroScan): a prospective study. *Gut* **55**, 403–408, https://doi.org/10.1136/gut.2005.069153
- 26 Kim, B.K. et al. (2012) Normal liver stiffness values differ between men and women: a prospective study for healthy living liver and kidney donors in a native Korean population. *J. Gastroenterol. Hepatol.* **27**, 781–788, https://doi.org/10.1111/j.1440-1746.2011.06962.x
- 27 Wong, V.W.S. et al. (2010) Diagnosis of fibrosis and cirrhosis using liver stiffness measurement in nonalcoholic fatty liver disease. *Hepatology* **51**, 454–462, https://doi.org/10.1002/hep.23312
- 28 Huang, R. et al. (2016) Fibroscan improves the diagnosis sensitivity of liver fibrosis in patients with chronic hepatitis B. *Exp. Ther. Med.* **11**, 1673–1677, https://doi.org/10.3892/etm.2016.3135



12

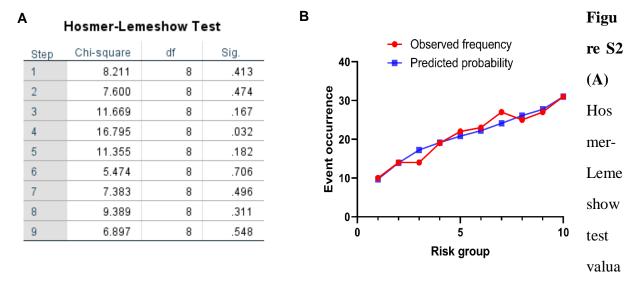
- 29 You, S.C. et al. (2015) Factors associated with significant liver fibrosis assessed using transient elastography in general population. *World J. Gastroenterol.* **21**, 1158, https://doi.org/10.3748/wjg.v21.i4.1158
- 30 Cohen-Naftaly, M. and Friedman, S.L. (2011) Current status of novel antifibrotic therapies in patients with chronic liver disease. *Ther. Adv. Gastroenterol.* 4, 391–417, https://doi.org/10.1177/1756283X11413002
- 31 Chan, H.Y. et al. (2009) Alanine aminotransferase-based algorithms of liver stiffness measurement by transient elastography (Fibroscan) for liver fibrosis in chronic hepatitis B. J. Viral Hepat. 16, 36–44, https://doi.org/10.1111/j.1365-2893.2008.01037.x
- 32 Marcellin, P. et al. (2009) Non-invasive assessment of liver fibrosis by stiffness measurement in patients with chronic hepatitis B. *Liver Int.* **29**, 242–247, https://doi.org/10.1111/j.1478-3231.2008.01802.x
- 33 Ahn, J., Shin, Y., Lee, J. and Kim, M. (2015) Human alpha-fetal protein immunoassay using fluorescence suppression with fluorescent-bead/antibody conjugate and enzymatic reaction. *Biosens. Bioelectron.* 71, 115–120, https://doi.org/10.1016/j.bios.2015.03.073
- 34 Yu, Z., Wang, R., Chen, F., Wang, J. and Huang, X. (2018) Five novel oncogenic signatures could be utilized as AFP-related diagnostic biomarkers for hepatocellular carcinoma based on next-generation sequencing. *Dig. Dis. Sci.* **63**, 945–957, https://doi.org/10.1007/s10620-018-4961-3
- 35 Dimitroulis, D. et al. (2017) From diagnosis to treatment of hepatocellular carcinoma: An epidemic problem for both developed and developing world. *World J. Gastroenterol.* **23**, 5282–5294, https://doi.org/10.3748/wjg.v23.i29.5282
- 36 Fouad, R. et al. (2019) Clinical impact of serum alpha-fetoprotein and its relation on changes in liver fibrosis in hepatitis C virus patients receiving direct-acting antivirals. *Eur. J. Gastroenterol. Hepatol.* **31**, 1129–1134, https://doi.org/10.1097/MEG.00000000001400
- 37 El Raziky, M. et al. (2013) Hepatic fibrosis and serum alpha-fetoprotein (AFP) as predictors of response to HCV treatment and factors associated with serum AFP normalisation after treatment. *Arab J. Gastroenterol.* **14**, 94–98, https://doi.org/10.1016/j.ajg.2013.08.005
- 38 Zhang, Z. et al. (2019) Silencing of EPCAM suppresses hepatic fibrosis and hepatic stellate cell proliferation in mice with alcoholic hepatitis via the PI3K/Akt/mTOR signaling pathway. *Cell Cycle* **18**, 2239–2254, https://doi.org/10.1080/15384101.2019.1642067
- 39 Kobeisy, M.A. et al. (2012) Clinical significance of elevated alpha-foetoprotein (AFP) in patients with chronic hepatitis C without hepatocellular carcinoma in upper EGYPT. Arab J. Gastroenterol. **13**, 49–53, https://doi.org/10.1016/j.ajg.2012.06.004
- 40 Attallah, A.M. et al. (2012) Diagnostic performances of hepatitis C virus-NS4 antigen in patients with different liver pathologies. *Arch. Med. Res.* **43**, 555–562, https://doi.org/10.1016/j.arcmed.2012.09.010
- 41 Hu, K.-Q. et al. (2004) Clinical significance of elevated alpha-fetoprotein (AFP) in patients with chronic hepatitis C, but not hepatocellular carcinoma. *Am. J. Gastroenterol.* **99**, 860, https://doi.org/10.1111/j.1572-0241.2004.04152.x
- 42 Sterling, R.K. et al. (2006) Development of a simple noninvasive index to predict significant fibrosis in patients with HIV/HCV coinfection. *Hepatology* **43**, 1317–1325, https://doi.org/10.1002/hep.21178
- 43 Coco, B. et al. (2007) Transient elastography: a new surrogate marker of liver fibrosis influenced by major changes of transaminases. *J. Viral Hepat.* **14**, 360–369, https://doi.org/10.1111/j.1365-2893.2006.00811.x

1. Validation



(A) is based on training data(80%) and the right one (B) is based on testing data (20%). The AUC of two figures are almost similar(0.719 V.S. 0.711).

2. Calibration



ted the calibration capability of the prediction model. The result indicated that there was no significant different between the predicted value and the actual observed value ($\chi^2 = 6.897$, P>0.05) and this predicted model had a good calibration ability.(**B**)The prediction probability of each research objectin Contingency Table for Hosmer-Lemeshow Test was sorted from small to large and divided into 10 groups according to decile.And each groupof actual observations (Observed) and model prediction (Expected) were listed respectively and connected by smooth line segments in turn.The model predicted curve is close to the actual observed curve, which indicates that the calibration ability of the model is good.